J. FW.



## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q66130

Dorron LEVY, et al.

Appln. No.: 09/996,789

Group Art Unit: 2857

Confirmation No.: 4578

Examiner: Hal D. Wachsman

Filed: November 30, 2001

For: FAILURE PREDICTION APPARATUS AND METHOD

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

A copy of the corresponding Communication from a Foreign Patent Office is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/336,789

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted.

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 1, 2004

Registration No. 32,156

Substitute for Form 1449 A & B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICATION

(use as many sheets as necessary)

Sheet	1	of	1

Complete if Known				
Application Number	09/996,789			
Confirmation Number	4578			
Filing Date	November 30, 2001			
First Named Inventor	Dorron LEVY			
Art Unit	2857			
Examiner Name	Hal D. Wachsman			
Attorney Docket Number	Q66130			

			U.S. 1	PATENT DOCU	MENTS
Examiner Cite Initials* No.1	Document Number		Publication Date		
	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 5,991,707	Α	1/23/1999	SEARLES, ET AL.
		US 6,249,887	Bl	6/19/2001	GRAY, ET AL.
		US 5,654,896	A	8/5/1997	OCHI
		US			

			F	DREIGN PA	TENT DOCUM	ENTS	
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Translation <sup>6</sup>	
				<del> </del>			

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				
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Examiner Signature		Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.